

ATTORNEY DOCKET NO.: 05015.0209U3
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)	
)	
MURRAY <i>et al.</i>)	
)	
Serial No.: Rule 1.53(b) divisional of)	Group Art Unit: Unassigned
Application No. 09/518,071)	
Filed on March 2, 2000)	Examiner: Unassigned
)	
Filed: Concurrently)	
)	
For: "SILICONE POLYMER DIOL COMPOSITIONS)	
AND CONDENSATION POLYMER/SILICONE)	
POLYMER BLENDS")	

PRELIMINARY AMENDMENT

BOX PATENT APPLICATION
United States Patent and Trademark Office
Post Office Box 2327
Arlington, VA 22202

NEEDLE & ROSENBERG, P.C.
127 Peachtree Street, N.E.
Atlanta, Georgia 30303-1811

November 29, 2001

Sir:

Prior to examination, please amend the above-identified application as set forth below.
This Preliminary Amendment is being filed along with a Divisional Application filed under
37 C.F.R. § 1.53(b).

IN THE SPECIFICATION

Please delete the paragraph on page 1, lines 7-9 under "Cross-Reference to Related
Applications" and insert therefor:

--This application is a divisional of, and claims the benefit of, Application Serial No. 09/518,071, filed on March 2, 2000, which status is allowed. The 09/518,071 application claims priority to U.S. Provisional Application Serial No. 60/122,625, filed March 3, 1999. U.S. Application Serial Nos. 09/518,071 and 60/122,625 are each incorporated herein by this reference in their entireties.--

IN THE CLAIMS

Please cancel claims 7-20, without prejudice.

Please enter the following non-marked up version of amended claims 5 and 6.

5. The silicone polymer emulsion of claim 1, wherein the surfactant comprises alkali metal, ammonium salts of alkyl, aryl or alkylaryl sulfonates, sulfates, phosphates, alkyl and alkylaryl polydiol ethers, ethoxylation products of lauryl, oleyl and stearyl alcohols, alkyl phenol glycol ethers, ethoxylation products of octyl or nonylphenol, or a mixture thereof.
6. The silicone polymer emulsion of claim 1, wherein the silicone polymer comprises homo or copolymers of polydimethylsiloxane, wherein the copolymers comprise aminopropyl, vinyl, mercaptopropyl, phenylmethyl, epoxy or amino-ethylaminopropyl functionalities.

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Application No. 09/518,071

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REMARKS

Applicants have canceled claims 7-20, without prejudice. Claims 5 and 6 have been amended. Claims 1-6 are pending in the application.

Claim 5 has been amended to further define the invention by specifically reciting the surfactant types that may be used in the present invention. Support for this amendment can be found in the specification, *inter alia*, at page 11, lines 15-23.

Claim 6 has been amended to correct a clerical error where the functionalities listed at the end of claim 6 inadvertently referred to both homo and copolymers of polydimethylsiloxane. Since by definition a homopolymer is made of only one type of monomer, one of ordinary skill in the art would understand that the list of functionalities at the end of claim 6 was only meant to apply to copolymers of polydimethylsiloxane.

The specification has been amended to point out that the instant application is a divisional of U.S. Application Number 09/518,071, filed on March 2, 2000, which status is allowed.

The amended specification submitted herewith presents the amendments and claims set forth herein. Attached hereto is a marked-up version of the changes made to the specification and claims by the current amendment. The attached page is captioned **“VERSION WITH MARKINGS TO SHOW CHANGES MADE.”**

No new matter has been added by these amendments.

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All of the amendments presented in this Preliminary Amendment are included in the specification submitted herewith.

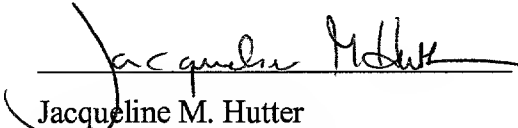
CONCLUSION

A check for \$740.00 to cover the filing fee for this divisional application is enclosed (Check No. 489863). No additional fee is believed to be due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment in fees to Deposit Account No. 14-0629.

Applicants await an action on the merits.

Respectfully submitted,

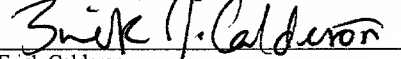
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CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that this Preliminary Amendment is being deposited with the United States Postal Service as Express Mail No. EL924206105US in an envelope addressed to: BOX PATENT APPLICATION, United States Patent and Trademark Office, Post Office Box 2327, Arlington, VA 22202 on the date shown below.


Erick Calderon

Date 11/29/01

VERSION WITH MARKINGS TO SHOW CHANGES MADE

IN THE SPECIFICATION

Please delete the paragraph on page 1, lines 7-9 under "Cross-Reference to Related Applications" and insert therefor:

--This application is a divisional of, and claims the benefit of, Application Serial No. 09/518,071, filed on March 2, 2000, which status is allowed. The 09/518,071 application claims priority to U.S. Provisional Application Serial No. 60/122,625, filed March 3, 1999. U.S. Application Serial Nos. 09/518,071 and 60/122,625 are each incorporated herein by this reference in their entireties.--

IN THE CLAIMS

Please cancel claims 7-20, without prejudice.

5. (Amended) The silicone polymer emulsion of claim 1, wherein the surfactant comprises [an anionic, a cationic, a nonionic surfactant] alkali metal, ammonium salts of alkyl, aryl or alkylaryl sulfonates, sulfates, phosphates, alkyl and alkylaryl polydiol ethers, ethoxylation products of lauryl, oleyl and stearyl alcohols, alkyl phenol glycol ethers, ethoxylation products of octyl or nonylphenol, or a mixture thereof.
6. (Amended) The silicone polymer emulsion of claim 1, wherein the silicone polymer comprises homo or copolymers of polydimethylsiloxane [comprising], wherein the copolymers comprise aminopropyl, vinyl,

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mercaptopropyl, phenylmethyl, epoxy or amino-ethylaminopropyl
functionalities.

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